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## TRANSMITTAL FORM

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Total Number of Pages in This Submission

Application Number	10/754,797
Filing Date	JANUARY 9, 2004
First Named Inventor	DUKE, ET AL.
Group Art Unit	1764
Examiner Name	
Attorney Docket Number	WETCO-001A

	ENCLOSURES (check	k all that apply)
Fee Transmittal Form	Assignment Papers (for an Application)	After Allowance Communication to Group
Fee Attached	Drawing(s)	Appeal Communication to Board of Appeals and Interferences
Amendment / Reply	Licensing-related Papers	Appeal Communication to Group ( Appeal Notice, Brief, Reply Brief)
After Final	Petition	Proprietary Information
Affidavits/declaration(s)	Petition to Convert to a Provisional Application	Status Letter
Extension of Time Request	Power of Attorney, Revocation Change of Correspondence Address	Other Enclosure(s) (please identify below):
Express Abandonment Reques  X Information Disclosure Statem	Request for Refund	PTO/SB/08A; PTO/SB/08B with 5 prior art references; Certificate of Mailing; Return Receipt Postcard.
Certified Copy of Priority Document(s)	CD, Number of CD(s)	NIV ADDITIONAL EDES OF CREDIT
Response to Missing Parts/ Incomplete Application	ANY OVERPAYMENT TO DEPOSI	NY ADDITIONAL FEES OR CREDIT T ACCOUNT NUMBER 19-4330.
Response to Missing Pa under 37 CFR 1.52 or 1		
	SIGNATURE OF APPLICANT, ATTORNEY, OR	AGENT
Firm or MATTI	IEW A. NEWBOLES IA BRUNDA GARRED & BRUCKER	
Signature		
Date 5	14/04	

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LINDA JOHNSON

Denda Misor

Date 5-4-2004

MAY 0 7 2004

Application No.: 10/754,797

Attorney Docket: WETCO-001

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Dan Duke, et al.	)	Confirmation No.	. 8601
Serial No.:	10/754,797	)	Art Unit:	1764
Filed:	January 9, 2004	)	Examiner:	
For:	Cooling Water Scale and	)		
Corrosion Inl	nibition			

## INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. SECTION 1.97

Mail Stop Amendment Commissioner for Patents PO BOX 1450 Alexandria, VA 22313-1450

## Dear Sir/Madam:

Pursuant to 37 C.F.R. § 1.97, the following Information Disclosure Statement is submitted as listed on form PTO/SB/08A and 08B enclosed herewith in duplicate. Copies of all disclosure documents are attached hereto for the Examiner's review.

No representation is made that the references disclosed herein legally constitute prior art, or that more relevant references are not available. The disclosure documents enclosed herewith and listed on the attached form are printed in the English language and/or accompanied by an Abstract published in the English language.

The references listed herein, when taken alone or in combination, are not believed to disclose nor make obvious the invention as claimed in the subject application.

As this Information Disclosure Statement is being submitted before the stipulated three months from the filing date of the application and/or before the mailing of a first Office Action, it is believed that no fee is required. If any additional fee is required, please charge Account Number 19-4330.

Respectfully submitted,

Date: <u>5/4/04</u>

Customer No.: 007663

Matthew A. Newboles

Registration No. 36,224

STETINA BRUNDA GARRED & BRUCKER

75 Enterprise, Suite 250

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PTO/SB/08a (08-03)

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Sı	ubstitute for form 1449A/PTO	P. A.		Complete if Known		
				Application Number	10/754.797	
	NFORMATION DIS	SCLC	SURE	Filing Date	JANUARY 9, 2004	
-	STATEMENT BY A			First Named Inventor	DUKE, ET AL.	
`	STATEMENT DI A	\\	io Airi	Art Unit	1764	
	(Use as many sheets as i	necessarv	·)	Examiner Name		
Shee		of	1	Attorney Docket Number	WETCO-001A	

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (if known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 5,277,823	01/11/1994	HANN, ET AL.	
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3-</sup> Number <sup>4-</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T	
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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		_			Application Number	10/754.797	
	INFO	RMATION	DISCLO	SURE	Filing Date	JANUARY 9, 2004	
	STATEMENT BY APPLICANT				First Named Inventor	DUKE, ET AL.	
	OIA.				Art Unit	1764	
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Sh	neet	1	of	1	Attorney Docket Number	WETCO-001A	

	1		U. S. PATENT		
Examiner Initials*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
		Number-Kind Code <sup>2 (if known)</sup>	· · · · · · · · · · · · · · · · · · ·	,,,	Figures Appear
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	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code 3-Number 4-Kind Code 5 (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>		
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Complete if Known Substitute for form 1449B/PTO **Application Number** 10/754.797 INFORMATION DISCLOSURE Filing Date <u>JANUARY 9, 2003</u> First Named Inventor DUKE, ET AL STATEMENT BY APPLICANT

Group Art Unit 1764 (use as many sheets as necessary) **Examiner Name** Attorney Docket Number | WETCO-001A of Sheet

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		
		STRUMM, et al.; "Formation of Polysilicates as Determined by Coagulation Effects"; Environmental Science and Technology; 1967; Vo. 1, 221-227.			
		ROZENFELD, I.L.; "Corrosion Inhibitors"; 1981; McGraw Hill; 171-174.			
		The NALCO Water Handbook; 1979; Chapter 3, page 14 and 16.			
		DREW; Principles of Industrial Water Treatment; Sixth Edition; 1983; Chapter 3, pages 43 and 64.			
		BOFFARDI, Bennett P., PhD; Calgon Internal Publication; 1988; Chapter 4; pages 30-31.			
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1	Examiner	Date	
ı	Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO				Complete if Known		
			N OCUPE	Application Number	10/754.797	
INFOR	KIVIATION	DISC	CLOSURE	Filing Date	JANUARY 9, 2003	
STATEMENT BY APPLICANT  (use as many sheets as necessary)				First Named Inventor	DUKE, ET AL.	-
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				Examiner Name		
Sheet	1	of	0	Attorney Docket Number	WETCO-001A	

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		
		STRUMM, et al.; "Formation of Polysilicates as Determined by Coagulation Effects"; Environmental Science and Technology; 1967; Vo. 1, 221-227.			
		ROZENFELD, I.L.; "Corrosion Inhibitors"; 1981; McGraw Hill; 171-174.			
		The NALCO Water Handbook; 1979; Chapter 3, page 14 and 16.			
		DREW; Principles of Industrial Water Treatment; Sixth Edition; 1983; Chapter 3, pages 43 and 64.			
		BOFFARDI, Bennett P., PhD; Calgon Internal Publication; 1988; Chapter 4; pages 30-31.			
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Signature	Considered

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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ATTORNEY DOCKET NO: WETCO-001A TITLE: COOLING WATER SCALE & CORROSION INHIBITION

Serial Number: 10/754,797

Filed: January 9, 2004

Certificate of Mailing under 37 CFR 1.8 or 37 CFR 1.10

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on May 4, 2004

(Signáture)

LINDA JOHNSON

(Typed name of person signing certificate)

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